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Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	10/680,589	
			Filing Date	October 6, 2003	
			First Named Inventor	Jonathan S. Spigel, et al	
			Group Art Unit	To Be Assigned	
			Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	45278.00004

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				

NON PATENT LITERATURE DOCUMENTS					T <sup>2</sup>
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
BSF	AA	Calandra, Thom, <u>New Fund Will Revolutionize Bullion Ownership</u> . CBS.MarketWatch.com			
BSF	AB	Calandra, Thom, <u>Calandra on Gold</u> . CBS.MarketWatch.com			
BSF	AC	Calandra, Thom, <u>New NYSE "Paper Gold" Will Spark Investment Demand</u> . CBS.MarketWatch.com			
BSF	AD	Central Gold-Trust IPO Prospectus. June 25, 2003			
BSF	AE	EQUITY GOLD TRUST Form S-1, May 13, 2003			

Examiner Signature	SAN/81384.1	Date Considered	8 JAN 08
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

of

### Complete If Known

Application Number	10/680,589
Filing Date	October 6, 2003
First Named Inventor	Jonathan S. Spigel, et al
Group Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	45278.00004

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
BSF	AF	IndexFunds.com Staff, <u>Gold ETF To Test Retail Investor Demand</u> , June 11, 2003	
	AG	kitco.com. re The World Gold Council. <a href="http://www.kitco.com/ind/Appel/jun262003.html">http://www.kitco.com/ind/Appel/jun262003.html</a>	
	AH	Pandya, Kiran, <u>Companies Find New Shine to Gold Glitter</u> , web.mid-day.com/news/business/2003/july/58479.htm. July 14, 2003	
	AI	Pisani, Bob, <u>Coming Soon: Buy Gold Like a Stock</u> , <a href="http://moneycentral.msn.com/content/CNBCTV/Articles/TV_Reports/P50438.asp">http://moneycentral.msn.com/content/CNBCTV/Articles/TV_Reports/P50438.asp</a>	
	AJ	Radhakrishnan, Sankar, <u>WGC To Unveil New Ad Campaign</u>	
BSF	AK	<u>The Gold Equity Share: An Idea Whose Time Has Come</u>	

Examiner  
Signature

SAN/81384.1

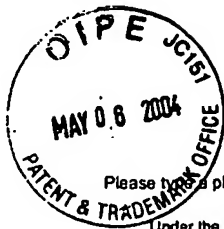
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Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/680,589		
		Filing Date	October 6, 2003		
		First Named Inventor	Spirgel et al.		
		Group Art Unit	To Be Assigned		
		Examiner Name	To Be Assigned		
Sheet	1	of	1	Attorney Docket Number	45278.00004.UTL

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant - Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				

Examiner Initials*	Cite No. <sup>1</sup>	NON PATENT LITERATURE DOCUMENTS		T <sup>4</sup>
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BSF	AA	"Launch of UK Listed Gold Bullion Securities & New Initiative with World Gold Council" World Gold Council Limited, December 2, 2003.		

Examiner Signature	SAN/89082.1	Date Considered	8 JAN 08
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	Application Number	10/680,589
	Filing Date	10/06/2003
	First Named Inventor	JONATHAN S SPIRGEL
	Art Unit	3621
	Examiner Name	Unassigned
Sheet 1 of 1	Attorney Docket Number	201818-0315425

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
RSE		US- 6,088,685 A	July 11, 2000	KIRON et al.	
RSE		US- 2002/0082903 A1	June 27, 2002	YASUZAWA	
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10680589
	Filing Date		2003-10-06
	First Named Inventor	SPIRGEL	
	Art Unit	4132	
	Examiner Name	Benjamin S. Fields	
Attorney Docket Number		201818-0315425	

U.S.PATENTS						
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BSE	1	20050038726	A1	2005-02-17	Salomon	
	2	20050044022	A1	2005-02-24	Spirgel	
	3	20050171894	A1	2005-08-04	Traynor	
	4	20050192889	A1	2005-09-01	Sauter	
BSE	5	20020161692	A1	2002-10-31	Loh	
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STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10680
Filing Date	2003-10-06
First Named Inventor	SPIRGEL
Art Unit	4132
Examiner Name	Benjamin S. Fields
Attorney Docket Number	201818-0315425

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BSF	1	International Search Report issue in PCT/US06/37701, mailed September 4, 2007, 2 pages.	<input type="checkbox"/>
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